



CC/90/12 ORIGINAL: English DATE: October 26, 2015

## INTERNATIONAL UNION FOR THE PROTECTION OF NEW VARIETIES OF PLANTS Geneva

# **CONSULTATIVE COMMITTEE**

# Ninetieth Session Geneva, October 28, 2015

#### PLANT VARIETY PROTECTION STATISTICS

#### Document prepared by the Office of the Union

Disclaimer: this document does not represent UPOV policies or guidance

1. This document presents plant variety protection statistics by crop type and identifies some aspects where clarification of data might be pursued further.

#### BACKGROUND

2. At its forty-seventh ordinary session, held in Geneva on October 24, 2013, the Council noted that the Office of the Union would explore the possibility of providing information on statistics by crop type (e.g. agriculture, fruit, ornamental, vegetable and forest trees) in future versions of document C/xx/7 "Plant variety protection statistics for the period [xxxx]-[xxxx]", according to the suggestion made by the Delegation of Chile (see document C/47/20 "Report", paragraph 66).

3. The Technical Committee (TC), at its fiftieth session, held in Geneva, from April 7 to 9, 2014, agreed to provide information on the type of crop (agriculture/fruit/ornamental plant/forest tree/vegetable) for each UPOV code in the GENIE database and to request the TWPs to check the TWP allocations by correspondence by the end of 2014. It was clarified that more than one crop type could be allocated to a single UPOV Code (see documents TC/50/6 "UPOV Information Databases", paragraph 8 and TC/50/36 "Report on the Conclusions", paragraph 95).

4. The TC noted that the proposed approach would enable the data in the PLUTO database to be analyzed with regard to applications filed, titles issued and titles having ceased to be in force by type of crop, whilst noting that the multiple crop types for some UPOV codes would result in some limitations in that regard (see document TC/50/36 "Report on the Conclusions", paragraph 96).

5. The Consultative Committee, at its eighty-eighth session, held in Geneva, on October 15, 2014, noted the plans to provide information for type of crop for each UPOV code in the GENIE database as a basis to obtain statistics on plant variety protection from the PLUTO database, whilst noting the following constraints on the accuracy and completeness of any such analysis, as set out in document CC/88/11 (see document CC/88/17 "Report", paragraph 99):

- The existence of multiple crop types for some UPOV codes will result in some lack of certainty as to the crop type for certain PLUTO entries.
- The data provided for document C/48/7 "Plant variety protection statistics for the period 2009-2013" is more complete than the data in the PLUTO database.

#### STATISTICS BY CROP TYPE

6. Annex I to this document presents an analysis of data in the PLUTO database by crop type and information on the statistics provided in documents C/46/7 "Plant Variety Protection Statistics for the period [xxxx]-[xxxx]", C/47/7, C/48/7 and C/49/7. In order to provide information of the robustness of the analysis of the data in the PLUTO database, Annex I compares the data in the PLUTO database with the corresponding data provided in document C/48/7 for the year 2013. In cases where there are significant differences between the data in the PLUTO database and in document C/48/7, the Office of the Union will consult relevant members of the Union.

7. In relation to the completeness of data in the PLUTO database, in terms of members of the Union providing data, the situation is summarized in Annex II to this document. The Office of the Union will investigate the reason for the differences between years.

8. The Consultative Committee is invited to:

(a) note the statistical analysis reported in this document;

(b) note that in cases where there are significant differences between the data in the PLUTO database and in document C/48/7, the Office of the Union will consult relevant members of the Union;

(c) note that the Office of the Union will investigate the reason for the differences between years in relation to the completeness of data in the PLUTO database in terms of members of the Union providing data; and

(d) consider whether the statistical analysis reported in this document should be provided in future versions of document C/[session]/7 "Plant variety protection statistics for the period [xxxx]-[xxxx]".

[Annexes follows]

#### CC/90/12

#### ANNEX I

# DATA IN THE PLUTO DATABASE BY CROP TYPE (Data in the PLUTO database on October 22, 2015)

## January 1, 2011 to December 31, 2011

PLUTO database (Plant Breeders Rights and Plant Patents)								Total in					
	Crop type									Total records in	document C/46/7		
	Agricu	Agriculture Forest trees Fruit Ornamental Vegetables <sup>1</sup> Crop type Total <sup>2</sup>						PLUTO	(2011)				
Applications filed	3,097	27% <sup>3</sup>	64	0.6%	1,216	11%	5,651	50%	1,384	12%	11,412	10,624	13,981
Titles issued	2,685	29%	55	0.6%	687	7%	4,299	47%	1,511	16%	9,237	8,705	10,189
Titles having ceased to be in force in reference year	1,588	32%	24	0.5%	125	2%	2,782	55%	494	10%	5,013	4,952	5,997

## January 1 2012 to December 31, 2012

				PLUTO	database	e (Plant B	reeders Righ	nts and F	Plant Pate	ents)			Total in
	Crop type To								Total records in	document C/47/7			
	Agricu	Agriculture Forest trees Fruit Ornamental Vegetables Crop type Total						PLUTO	(2012)				
Applications filed	3,072	27%	96	0.8%	1,294	11%	5,484	48%	1,471	13%	11,417	10,596	13,908
Titles issued	2,330	26%	50	0.7%	748	8%	4,370	49%	1,439	16%	8,947	8,373	9,822
Titles having ceased to be in force in reference year	1,485	31%	13	0.3%	125	3%	2648	56%	456	10%	4,727	4,576	5,488

<sup>&</sup>lt;sup>1</sup> Mushrooms are included in Vegetables.

<sup>&</sup>lt;sup>2</sup> The difference of "Crop type total" and "Total records" results from UPOV codes with multiple crop types.

<sup>&</sup>lt;sup>3</sup> % of crop type total (see note 2)

#### CC/90/12 Annex I, page 2

January 1, 2013 to December 31, 2013

				PLUTO	database	(Plant B	reeders Rigł	nts and F	Plant Pate	ents)			Total in
						C	rop type					Total records in	document C/48/7
	Agricu	ulture	Fores	st trees	Fr	uit	Orname	ental	Vege	tables	Crop type Total	PLUTO	(2013)
Applications filed	2,375	23%	69	0.7%	1,226	12%	5,150	49%	1,634	16%	10,814	10,110	14,788
Titles issued	2,930	33%	50	0.6%	792	9%	3,851	43%	1,262	14%	8,885	8,409	10,052
Titles having ceased to be in force in reference year	1,623	30%	10	0.2%	274	5%	2,642	49%	857	16%	5,406	5,112	6,284

#### January 1 2014 to December 31, 2014

PLUTO database (Plant Breeders Rights and Plant Patents)									Total in				
	Crop type											Total records in	document C/49/7
	Agricu	ulture	Fores	st trees	Fr	uit	Orname	ental	Veget	tables	Crop type Total	PLUTO	(2014)
Applications filed	2,954	32%	35	0.4%	762	8%	3,279	41%	1,613	18%	9,093	8,535	15,499
Titles issued	3,236	35%	32	0.3%	749	8%	4,021	44%	1,155	13%	9,193	8,735	11,569
Titles having ceased to be in force in reference year	1,346	27%	12	0.2%	313	6%	2,780	56%	546	11%	4,997	4,753	8,441

(Data of the PLUTO database as of October 22, 2015)

[Annex II follows]

#### CC/90/12

# ANNEX II NUMBER OF APPLICATIONS FILED AND TITLES GRANTED IN 2013 (MEMBERS THAT SUBMITTED DATA TO PLUTO)

		Applicati	ons filed	Titles granted		
	UPOV member	PLUTO	C/48/7	PLUTO	C/48/7	
AR	Argentina	1	n/a	98	n/a	
AT	Austria	2	2	0	0	
AU	Australia	313	330	237	237	
BG	Bulgaria	32	34	20	24	
BR	Brazil	326	326		237	
CA	Canada	325	322	236	236	
СН	Switzerland	79	77	52	52	
CL	Chile	60	69	80	81	
CN	China	9	1,510	298	296	
CR	Costa Rica	3	8	4	4	
CZ	Czech Republic	96	96	66	66	
DE	Germany	105	104	59	59	
DK	Denmark	1	3	5	7	
EC	Ecuador	21	21	50	70	
EE	Estonia	14	15	11	12	
ES	Spain	74	74	74	62	
FI	Finland	11	11	5	5	
FR	France	95	107	0	0	
GB	United Kingdom	37	37	15	18	
HR	Croatia	2	2	0	0	
HU	Hungary	27	27	12	19	
IE	Ireland	1	2	1	1	
	Israel	46	46	22	22	
IT	Italy	1	2	0	0	
JP	Japan	1,046	1,054	752	752	
KE	Kenya	93	95	102	23	
KG	Kyrgyzstan	2	2		20	
KR	Republic of Korea	۲	599	184	459	
LT	Lithuania	12	12	13	13	
LV	Latvia	5	5	4	4	
MA	Morocco	51	56	50	24	
MD	Republic of Moldova	43	43	50	25	
NL	Netherlands	686	747	581	586	
NO	Norway	30	30	18	18	
NZ	New Zealand	156	154	119	119	
PE	Peru	22	134	113	119	
PL	Poland	93	88	58	58	
PT	Portugal	93	1	2	2	
QZ	European Community (Community	3,271	3,296	2,706	2,706	
	Plant Variety Office (CPVO))					
RO	Romania	40	40	67	67	
RS	Republic of Serbia	45	45	78	78	
RU	Russian Federation	553	555	458	458	
SE	Sweden	5	4	6	6	
SK	Slovakia	18	18	29	29	
TR	Turkey	159	215	82	82	
US	United States of America	1,932	1,889	1,859	1,859	
ZA	South Africa	167	309		255	
	Total	10,110	12,619	8,411	9,143	

[End of Annex II and of document]